



08.01.2021

To,  
The Dept. of Corporate Services  
BSE Limited  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai – 400 001.

Dear Sir,

**Sub: Quarterly compliance report on Corporate Governance pursuant to Regulation 27(2) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“Listing Regulations”).**

Pursuant to Regulation 27(2) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“Listing Regulations”), please find enclosed herewith the Quarterly Compliance Report on Corporate Governance for the quarter ended on December 31, 2020.

You are requested to take the same on your records.

Thanking You

Yours faithfully,

**For Globus Power Generation Limited**

For Globus Power Generation Limited.

Company Secretary

**Company Secretary**

## COMPLIANCE REPORT ON CORPORATE GOVERNANCE

1. Name of Listed Entity – **GLOBUS POWER GENERATION LIMITED**

2. Quarter Ending – **December 31, 2020**

### I. Composition of Board of Directors

Title (Mr. / Ms.)	Name of the Director	PAN& DIN	Date of Birth	Category (Chairperson/ Executive/ Non-Executive/ Independent/ Nominee)	Initial Date of Appointment	Date of Re-Appointment	Date of cessation	Tenure of Director*(in months)	No. of Directorship in listed entities including this listed entity (in refer Regulation 17A(1) of Listing Regulations)	No. of Independent Directors in listed entities including this listed entity	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No. of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Mr.	Abhay Khanna	ALDPK6934D & 02153655	28-02-1985	Executive & Non-Independent Director	28-05-2016	28-09-2019	-	-	1	0	1	-
Mr.	Pawan Kumar Agarwal	AAEPA1658G & 01056455	20-10-1968	Non-Executive & Non-Independent Director	26-09-2011	-	-	-	1	0	2	0
Mr.	Thamattoor Prabhakaran Nair	AAAPN0178N & 03608795	11-03-2020	Non Executive & Additional Independent Director	29-09-2020	-	-	7	1	1	2	1
Mr.	Amitabh Tandon	AAAPT1748C & 01049659	06/03/1968	Non-Executive & Non-Independent Director	29-09-2020	-	-	1	1	0	0	0
Mrs.	Nishi Arora Sabharwal	ABAPS1871L & 06963293	28-08-1960	Non Executive & Woman Independent Director	13-08-2015	12-11-2020	29-09-2020	1	2	2	3	1

Mrs.	Chhavi Prabhakar	AFRPP1200P & 07553853	02/09/1966	Non Executive & Woman Independent Director	29-09-2020	-	-	1	1	1	0	0
Whether regular chairperson appointed-					NO							
Whether Chairperson related to Managing Director or CEO-					NO							
<p>\$PAN number of any director would not be displayed on the website of Stock Exchange &amp;Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen</p> <p>* to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.</p>												

## II. Composition of Committees

Name of Committee	Whether Regular Chairperson appointed	Name of Committee members	Category (Chairperson/Executive/Non-Executive/independent/Nominee) \$	Date of Appointment	Date of Cessation
1. Audit Committee	Yes	1. Mr. Thamattoor Prabhakaran Nair 2. Ms. Nishi Arora Sabharwal 3. Mr. Pawan Kumar Agarwal	Non-Executive & Additional Independent Director Non-Executive & Independent Director & Chairperson Non-Executive & Non -Independent Director	29/06/2020 09/11/2015 26/09/2011	
2. Nomination & Remuneration Committee	Yes	1. Mr. Thamattoor Prabhakaran Nair 2. Ms. Nishi Arora Sabharwal 3. Mr. Pawan Kumar Agarwal	Non-Executive & Additional Independent Director Non-Executive & Independent Director & Chairperson Non-Executive & Non -Independent Director	29/06/2020 09/11/2015 30/09/2014	
3. Risk Management Committee(if applicable)	NA	Not Applicable	Not Applicable		
4. Stakeholders Relationship	Yes	1. Mr. Thamattoor Prabhakaran Nair	Non-Executive & Additional	29/06/2020	

Committee		2. Mr. Abhay Khanna 3. Mr. Pawan Kumar Agarwal	Independent Director & Chairperson Executive & Non- Independent Director Non-Executive & Non- Independent Director	28/05/2016 26/09/2011	
*Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen					

### III. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met*	Number of Directors Present*	Number of Independent Directors Present*	Maximum gap between any two consecutive (in number of days)
31.08.2020	12.11.2020	Yes	6	3	72 Days

### IV. Meeting of Committees (Audit Committee)

Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Number of Directors Present*	Number of Independent Directors Present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meeting
12.11.2020	Yes 1. Mr. Pawan Kumar Agarwal 2. Ms. Nishi Arora Sabharwal 3. Mr. Thamattoor Prabhakaran Nair	3	2	31.08.2020	72 DAYS

### V. Related Party Transactions

Subject	Compliance status (Yes/No/NA)refer note below
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	N.A

Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	N.A
<p>Note:</p> <p>1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.</p> <p>2 If status is "No" details of non-compliance may be given here.</p>	

## VI. Affirmations

<p>1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. – <b>YES</b></p> <p>2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015</p> <ul style="list-style-type: none"> <li>a. Audit Committee - <b>YES</b></li> <li>b. Nomination &amp; remuneration committee - <b>YES</b></li> <li>c. Stakeholders relationship committee - <b>YES</b></li> <li>d. Risk management committee (applicable to the top 100 listed entities) – <b>NA</b></li> </ul> <p>3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. – <b>YES</b></p> <p>4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. – <b>YES</b></p> <p>5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here:</p>
<p><b>Name &amp; Designation: Urmil Kakkar</b></p> <p><b>Company Secretary/<del>Compliance Officer</del>/<del>Managing Director</del>/CEO/CFO</b></p>

**For Globus Power Generation Limited**

For Globus Power Generation Limited.



company secretary

**Company Secretary**